



## FINANCIAL CONFLICT OF INTEREST DISCLOSURE FORM

**Reference:** NSU Policy No. 23 – Conflicts of Interest  
[OGC Policy No. 16](#) – Financial Conflict of Interest (with Respect to Sponsored Projects)

1. Interest in private firms from which equipment, instruments, materials, or items will be purchased under attached proposal.

- |                                                                                             |                              |                             |
|---------------------------------------------------------------------------------------------|------------------------------|-----------------------------|
| a) Salaries or other payment for services (e.g., consulting fees or honoraria)              | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| b) Equity interests (e.g., stock, stock options, or other ownership interests)              | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| c) Intellectual property rights (e.g., patents, copyrights, and royalties from such rights) | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| d) Other                                                                                    | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

If you responded "Yes" to any of the above, indicate degree of commitment: \_\_\_\_\_

2. Government consultancies: \_\_\_\_\_

3. I have engaged in a related extramural program with either a governmental agency or private firm within the past year.

- Yes       No

If so, describe the involvement: \_\_\_\_\_

4. Other pertinent information: \_\_\_\_\_

\_\_\_\_\_  
Principal Investigator

\_\_\_\_\_  
Date

I have reviewed this disclosure and:

- find no conflict of interest.
- find conflict of interest.
- I have referred this disclosure to the VP-Research and Technology Transfer or HPD Chancellor for further review.

I have determined that:

- no conflict of interest exists.
- a conflict of interest exists.

\_\_\_\_\_  
Dean

\_\_\_\_\_  
Date

\_\_\_\_\_  
VP-Research & Technology Transfer    Date  
- OR - HPD Chancellor

